Move Assets from My Schwab Brokerage Account

www.schwab.com **1-800-435-4000** (inside the U.S.) **+1-415-667-8400** (outside the U.S.) **1-888-686-6916** (multilingual services)

Return the completed form by using Secure Messaging on www.Schwab.com or www.SchwabAlliance.com or Fax to 888-526-7252.
Please use this form to move (or transfer) cash and/or assets from your Schwab brokerage account to another Schwab brokerage account(s).
Please use a separate form for each originating account. If your request exceeds the lines on page 2, please attach a separate spreadsheet with the same format. Schwab may contact you to verify your request to journal your assets to a third party. (Note: Retirement Accounts will require a distribution form if your tax withholding preference is not listed on your account. Please call the appropriate number listed on this form.)

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1. Move Assets From This Account

We're here to help.
Clients of Investment Advisors: Contact your advisor directly or call Schwab Alliance at **1-800-515-2157.**
After completing this section continue to Section 2 or 3. Use section 3 to move specific assets.

_____
Name(s) on Your Schwab Account as displayed on Your Statement. *Please print.*

_____   _____
Originating Schwab Account Number   **Daytime Contact Telephone Number**

☐ **Please close the Originating Account after processing.**

   Note: All assets must be disbursed before closing the account.

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2. Move Assets to Another Schwab Account

_____   _____
Receiving Schwab Account Number   **In the Name of** *Please print.*

☐ **Move All Cash and Assets to the Receiving Account.**

☐ **Move "Cash Only" to the Receiving Account.**

☐ **One-time Cash Request**   ☐ **All Available Cash**   ☐ **One-time Cash Amount** $ _____

☐ **Recurring Cash Request**   Only select one box in this section.   ☐ **Recurring Cash Amount** $ _____

   ☐ **Monthly**   ☐ **Semi-Monthly**   ☐ **Quarterly**

   ☐ **Annually**   **Beginning Date** *(mm/dd/yyyy)* _____

   ☐ **Semi-Annually**   **1st Date** *(mm/dd/yyyy)* _____   **2nd Date** *(mm/dd/yyyy)* _____

☐ **Keep these instructions on file for future requests.**

   Use these instructions to move cash via phone or www.Schwab.com / www.SchwabAlliance.com > Accounts > Transfers and Payments > Online Transfer and assets to the Receiving Account via phone. Please indicate the cost basis method when contacting us to move shares.

   ☐ **Set up these instructions for future requests to move cash and/or assets back-and-forth between the Receiving and Originating Accounts.**

   Please indicate the cost basis method when contacting us to move shares. Note: To set up these instructions, you must be an authorized agent on both accounts.

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3. Move Assets to One or More Schwab Accounts and Choose a Cost Basis Method

• **When moving non-cash assets, please ensure you understand and specify which cost basis method you would like us to use prior to processing. If an alternative cost basis method or specific lot instructions are not listed in the table on page 2, the shares will be moved using the originating account's cost basis method which can be found on www.Schwab.com > Service > Account Settings.**

• **Schwab's default cost basis method for stocks, bonds and ETF's uses identified lots with the FIFO cost basis method described below. If instructions are provided**

- Schwab's default cost basis for mutual funds is average cost and lots cannot be specified if this method is currently used.
- Moving non-cash assets out of a custodial account is prohibited.
- The non-cash assets being moved are not part of a private securities transaction.
- Cost Basis methods:
  - **First In-First Out (FIFO):** The first lot acquired is the first lot moved. If lots are missing cost basis, these lots will be moved first.
  - **Last In-First Out (LIFO):** The last lot acquired is the first lot moved regardless if lots are missing cost basis.
  - **High Cost Lot (HCLOT):** For long positions, the lot with the highest cost is moved first; for short positions, the lot with the lowest cost is moved first. Lots with missing cost basis will be moved after lots with known cost basis are moved.
  - **Low Cost Lot (LCLOT):** For long positions, the lot with the lowest cost is moved first; for short positions, the lot with the highest cost is moved first. If lots are missing cost basis, these will be moved first.
  - **Tax Lot Optimizer (TLO):** Even though lots are selected and sold with the objective of taking losses first (short term then long term) and gains last (long term then short term), any shares moved will be processed at the High Cost Lot Method (HCLOT). Lots with missing cost basis will be moved after lots with known cost basis are moved.
- We can split each lot with an equal proportional allocation of cost basis to each receiving account known as "Pro Rata".

List each receiving account and each asset to be moved by either the number or percentage of shares or percentage of the account.	Only complete this section if you do not want us to apply the cost basis method on the originating account when moving shares or you want to move specific lot(s).
• **If you would like the cost basis split "Pro Rata", you must indicate "Pro Rata" in the Alternative Cost Basis Method column.** • We will not create fractional shares from whole shares. When splitting an asset, please designate the account that will receive the odd share amount. • If splitting and moving an asset with existing fractional shares, please specify the exact share allocation between accounts. • Generally, we can only move Municipal bonds in minimum increments of $5,000 and most other bonds in $1,000 increments. In some cases, we will not be able to split existing bond positions.	

Receiving Account Number(s)	In the Name of (Please print.)	Asset (Symbol, CUSIP,etc.)	# of Shares (e.g. 5 shares) Available Cash (e.g. $100)	Alternative Cost Basis Method for each asset or account (FIFO, LIFO, HCLOT, LCLOT) or "Pro Rata" (Please print.)	OR	List each lot's information below. (Please validate each lot by using cost basis information on www.Schwab.com.)		
						Acquired Date (mm/dd/yy)	Quantity	Cost Basis per Share/Bond
					OR			$
					OR			$
					OR			$
					OR			$
					OR			$
					OR			$
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4. Read and Sign Below

I acknowledge and agree that I am instructing Schwab to move (or transfer) cash or securities to an account that may be registered to a different owner. I acknowledge that Schwab will not be responsible for changes in the value of assets that may occur while assets are being transferred. I further understand and acknowledge that: (1) there are or could be tax consequences as a result of the above instructions (2) I have not received tax or legal advice from Schwab (3) I should consult with a tax and/or legal advisor before providing cost basis lot instructions as set out above. By signing below, I acknowledge that I have read and understood the terms of the above instructions and agree that these instructions are binding regardless of any other agreements between me and the recipient(s) of these assets. By signing below, I agree to indemnify and hold harmless Schwab, its affiliates, and their directors, officers, employees, attorneys and agents from any and all claims, actions, costs, and liabilities, including attorney's fees arising out of or relating to: (1) their reliance on this Form, and (2) Schwab's execution of my instructions. If this is a Custodial account and if cash is being transferred to another Schwab account, I acknowledge and agree that the cash will be used or applied solely for the benefit of the minor.

✘ _____   _____

Signature: Account Holder   **Today's Date** *(mm/dd/yyyy)*

Print Name